# 4<sup>th</sup> BrightnESS<sup>2</sup> General Assembly WP 1 Project Coordination

Margaret Armstrong, European Spallation Source 13/06/2022



## Today's Programme

- Welcome and ESS Up-date Helmut Schober
- WP 1 Margaret Armstrong
- WP 2 Andreas Schreyer, Lambert van Eijck, Paene Maleka, Sandra Cabeza, Anna Leung
- ESS Safety Induction for site tour Pascale Deen

### Coffee

- WP 3 Mauro Zambelli
- WP 4 Jimmy Binderup Andersen
- WP 5 Sharon Cosgrove, Jörgen Larsson
- Conclusions & GA Vote
- Celebratory Reception & Networking Dinner







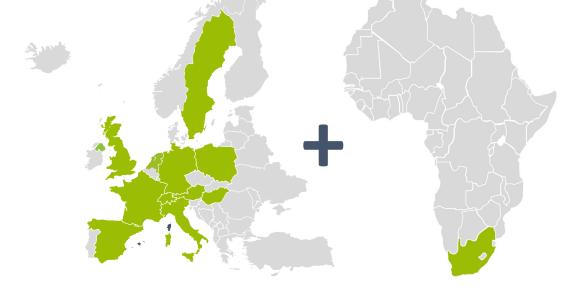




















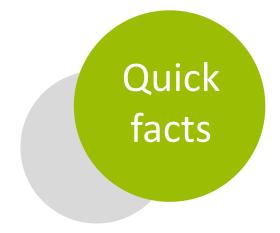












Timeline Jan 2019 – June 2022

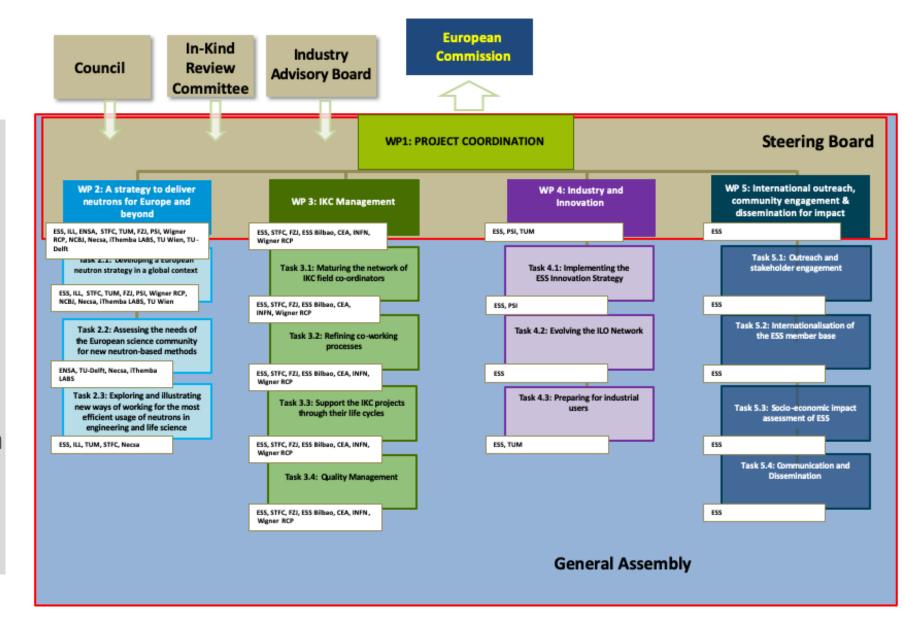
**Budget** 4 999 592.50 €

**16** Partners

**12** Countries



An efficient decision-making mechanism

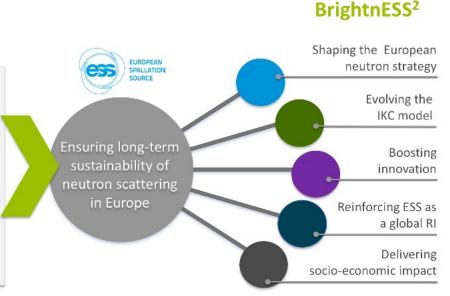




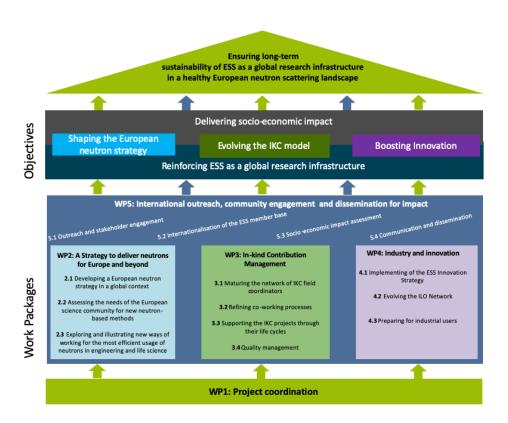
## Objectives & Management Structure

#### **BrightnESS**

- ✓ Up to 550 MEUR in IKC contracted or pending
- ✓ Alternative Detector technology in place
- ✓ Moderator concept tested
- ✓ Innovative software infrastructure developed
- ✓ Innovation Framework established
- ✓ New Member Policy in place
- ✓ Future users from academia and industry analysed



Ensuring long-term sustainability of ESS as a global research infrastructure in a healthy European neutron scattering landscape



# Technical Management

Project
Management

Financial and Administrative
Project Management

Coordination of Legal issues and
Project Risk Management

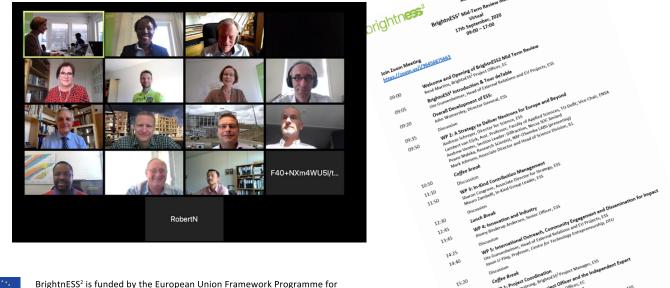
BrightnESS<sup>2</sup> Mid Term review Sept 2020

Project evaluation: 'Project has delivered exceptional results with significant immediate or potential impact'

BrightnESS<sup>2</sup> 3rd General Assembly December 2020

A highlight of the event was a talk by Lambert van Eijck from the European Neutron Scattering Association ENSA

The General Assembly approved the technical and financial progress presented for the project's second year of operation





#### WP1 Project management activities 2021-2022



#### **Amendment No. 3**

Why? 6 month Extension-June 2022 due to impact of Covid-19 on the Project

When? October 2021

How? Single amendment, in consultation with the Project Officer

#### What was added to the amendment?

- Change in some Deliverable and Milestone dates (D1.5, M17, D2.10)
- Updating Gantt chart

#### Amendment No. 4

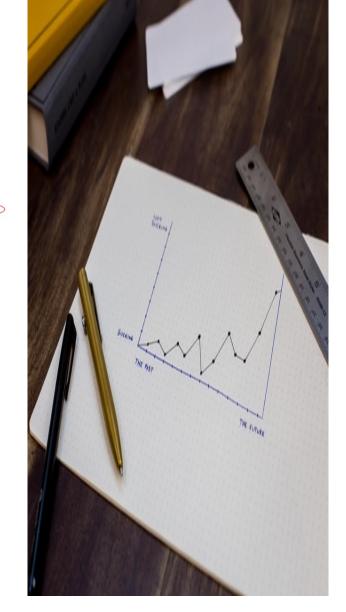
Why? Addition of 3<sup>rd</sup> Parties for ESS, additional subcontract, formalisation of previous project officer approvals by simplified procedure

When? April 2022

**How?** Single amendment, incorporating all desired changes in the project in consultation with the Project Officer

#### What was added to the amendment?

- Additional subcontract for ESS added
- · Correction of typos in text & graphics of WP 5 Title to reflect community engagement
- · Updated information use of resources, WP effort and internal budget distribution for tasks



- **➤** Monthly Steering Board meetings
- Weekly Administration & WP5 meetings
- **➤** Biweekly WP 3 & 4 PM meetings
- WP2 Lens Document BrightnESS<sup>2</sup>
   D2.10 (Common Roadmap)
  - ✓ 4 Writing Workshops
  - √ 30 Editorial Board meetings
- > Deliverables Milestones



#### Milestones & Deliverables

#### **20 Milestones in the Project**

- 1 Scheduled (M42)
- > 19 Submitted 95% on time

	Milestones 2019
M1	First Activity Plan for outreach and enlargement (March 2019)
M2	First IKC Field Coordinators Workshop (April 2019)
М3	First interactive ILO workshop (June 2019)
M4	First workshop in South Africa (Oct 2019)
M5	Establishment of the external Industry Advisory Board (Jan)
М9	Meeting to collect international input (Dec 2019)

#### **33** Deliverables in the Project

- 2 Scheduled (M42)
- > 31 Submitted 90% on time
- > 10 Approved after MTR 2020

	Deliverables 2019	
D1.1	Agenda and minutes of the General Assembly / Kick-off meeting (Feb.2019)	<b>√</b>
D1.2	Data management plan (June 2019)	
D5.1	BrightnESS2 Website (Feb.2019)	<b>√</b>
D6.1	H - Requirement No. 1 (June 2019)	
D6.2	POPD - Requirement No. 2 (June 2019)	
D3.1	Agreed joint working practices (Dec 2019)	<b>✓</b>



#### Timeline: Jan 2020 - Dec 2020

	2020 Milestones Achieved (12)
М9	Meeting to collect international input (Jan 2020)
M8	Second Activity Plan for outreach and enlargement (Jan 2020)
M5	Establishment of the external Industry Advisory Board (Feb 2020)
М6	Follow-up interactive ILO workshop (Feb 2020)
M11	Second IKC Field Coordinators Workshop (Feb 2020)
M7	Establishment of an internal Innovation Board at ESS (Apr 2020)
M12	Presentation of the ESS socio-economic impact assessment approach (Jun 2020)
M13	Specification and requirement ready (Jun 2020)
M15	First Periodic Report (Aug 2020)
M16	Mid Term Review (Sept 2020)
M14	Presentation of D2.3 by ENSA in preparation of D2.5 (Nov 2020)
M19	Second IKC Field Coordinators Workshop (April 2021)

	2020 Deliverables (12)	<b>/</b>
D5.2	Stakeholder engagement and enlargement activities (Feb 2020)	
D2.2	Intermediate report on definition of common goals (Feb 2020)	<b>√</b>
D3.2	1st Annual IKC Progress Report (Mar 2020)	<b>√</b>
D1.3	Minutes of the Second General Assembly (May 2020)	<b>√</b>
D2.1	Calibration protocol for all strain scanning instruments and definite criteria for the Neutron Quality Label (Jun 2020)	tion of
D4.1	Evolvement Potential of the ESS ILO Network (Jun 2020)	<b>√</b>
D6.1	H - Requirement No. 1 (Jun 2019) Revised (Aug 2020)	<b>√</b>
D6.2	POPD - Requirement No. 2 (Jun 2019) Revised (Aug 2020)	<b>✓</b>
D4.2	Strategic roadmap for technological upgrades of ESS (Oct 2020)	
D1.2	Data Management Plan (Jun 2019) revised	
D2.3	Report on user needs ( Dec 2020)	
D2.5	Intermediate report on methodological needs (Dec 2020)	

#### Milestones & Deliverables 2021-22

## brightness<sup>2</sup>

# 2021 Milestones M18 Third Activity Plan for outreach and enlargement (Jan 2021) M20 First experimental results based on the engineering and life sciences pilots (Jun 2021)

#### 2022 Milestone due (1)

Presentation of the ESS Access Policy to the Council (Dec 2020 moved to Jun 2022)

## 2022 Deliverables due (2)

Common roadmap and implementation strategy for future neutron capability (Oct 2021 moved to Jun 2022)

Minutes of the closing project meeting and the final General Assembly (Dec 2021 moved to Jun 2022)

	2021 Deliverables (16)
D5.3	Stakeholder engagement and enlargement activities II (Jan 2021)
D3.3	2 <sup>nd</sup> Annual IKC Progress Report (Jan 2021)
D1.4	Minutes of the 3rd General Assembly (Feb 2021)
D2.4	Report on User needs in South Africa (Jun 2021)
D2.7	Report on deuteration for soft matter & life sciences Experimental results (Jun 2021)
D2.8	Report on lessons learnt from engineering & deuteration pilot (Jul 2021)
D2.9	Report on synergies with other RIs (Jun 2021)
D3.4	Lessons learnt from IKC on ESS (Jun 2021)
D4.3	Cross Border activities (Jun 2021)
D4.4	Process and Procedures for targeted access routes (Jun 2021)
D4.5	Service Catalogue (Jun 2021)
D2.6	Results from experiments with industrial partners and Neutron Quality Label applied (Jul 2021)
D5.4	Socio-Economic Impact of ESS (Nov 2021) - with PO permission
D4.6	Report on capacity building (Dec 2021) - with PO permission
D5.5	Stakeholder engagement and enlargement activities III (Nov 2021)

3rd Annual IKC Progress Report (Dec 2021)

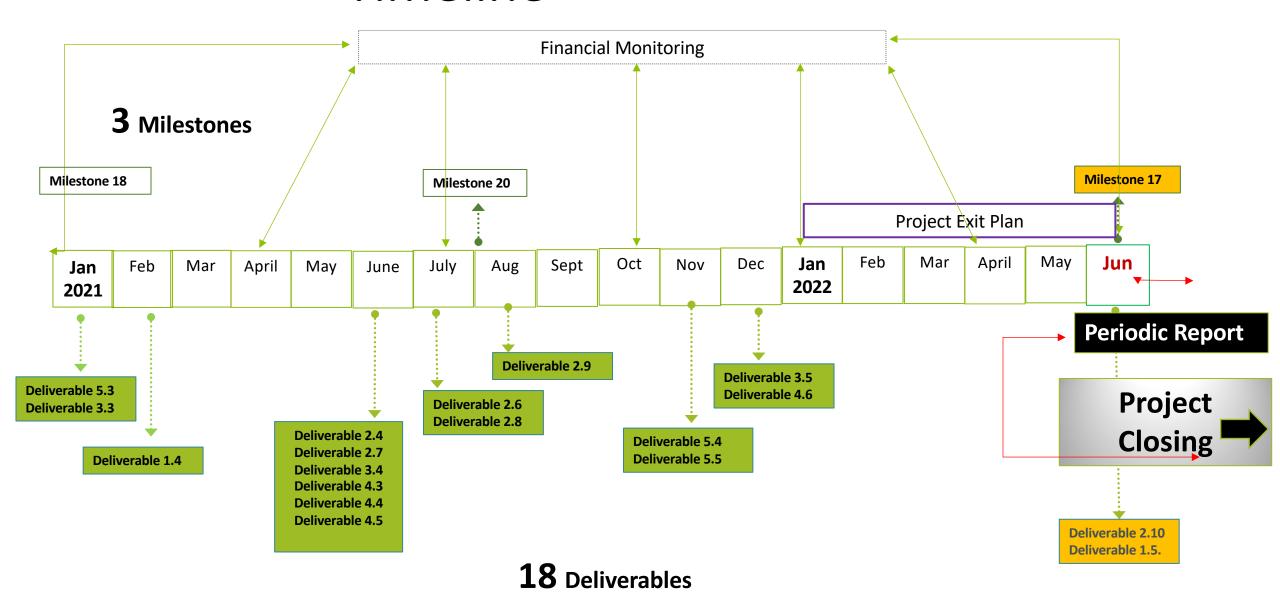
D3.5

M17

D2.10

D1.5

## Timeline January 2021 – June 2022





## Financials

Jan 2019 - Mar 2022 (M39)





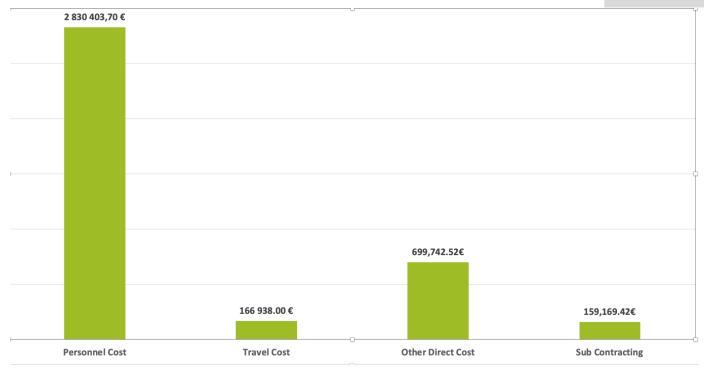
## BrightnESS<sup>2</sup> Total Expenditure for Project





# Overall Spending M1-M39







Expenditure **96%** 

# Total Budget versus Actual Expenditure @ 39 Months (with OH)

	(EUR) Planned						(EUR) 01-01-2019 - 30-03-2022 - Actuals						
Partners	Direct personnel costs as Actual Costs	Direct personnel costs as Unit Costs (Average)	Other direct costs	Direct cost of subcontracting	Indirect Costs	Total Costs	Direct personnel costs as Actual Costs	Direct personnel costs as Unit Costs (Average)	Other direct costs	Direct cost of subcontracting	Indirect Costs	Total Costs	Use of budget (%)
1. ESS	1 720 585,00 €		430 984,93 €	160 000,00 €	537 892,48 €	2 849 462,41 €	1 251 094,78 €		750 428,56 €	159 169,42 €	500 380,83 €	2 661 073,59 €	93%
2. ILL	137 550,00 €	/	29 269,00 €	<u> </u>	41 704,75 €	208 523,75 €	177 478,50 €		22 574,95 €		50 013,36 €	250 066,81 €	120%
3. STFC	184 950,00 €	1	30 000,00 €		53 737,50 €	268 687,50 €	210 894,66 €		11 681,47 €		55 644,03 €	278 220,16 €	104%
4. TUM	80 806,00 €	<u> </u>	16 300,00 €		24 276,50 €	121 382,50 €	122 743,98 €		2 225,17 €		31 242,29 €	156 211,44 €	129%
5. FZJ	171 168,00 €		22 000,00 €		48 292,00 €	241 460,00 €	197 693,44 €		18 825,11 €		54 129,64 €	270 648,19 €	112%
6. Wigner RCP	60 000,00 €		18 604,00 €	[ <u></u>	19 651,00 €	98 255,00 €	56 873,05 €		8 878,42 €		16 437,87 €	82 189,34 €	84%
7. INFN	108 000,00 €		15 000,00 €		30 750,00 €	153 750,00 €	94 735,44 €		4 056,18 €		24 697,91 €	123 489,53 €	80%
8. ESS BILBAO	127 800,00 €		15 000,00 €		35 700,00 €	178 500,00 €	84 654,60 €		12 018,50 €		24 168,28 €	120 841,38 €	68%
9. PSI		162 000,00 €	14 500,00 €		44 125,00 €	220 625,00 €		169 839,17 €	2 058,19 €		42 974,34 €	214 871,70 €	97%
10. CEA	179 964,00 €		15 000,00 €		48 741,00 €	243 705,00 €	189 884,52 €		3 441,25 €		48 331,44 €	241 657,21 €	99%
11. NCBJ	39 000,00 €		7 000,00 €		11 500,00 €	57 500,00 €	29 033,72 €		1 311,74 €		7 586,37 €	37 931,83 €	66%
12. iThemba LABS	36 000,00 €		13 750,00 €		12 437,50 €	62 187,50 €	35 752,14 €		16 278,59 €		13 007,68 €	65 038,41 €	105%
13. Necsa	45 000,00 €	/	14 027,07 €		14 756,77 €	73 783,84 €	47 224,95 €		2 508,13 €		12 433,27 €	62 166,35 €	84%
14. ENSA	- €		1 924,36 €		481,09€	2 405,45 €			1 924,36 €		481,09€	2 405,45 €	100%
15. TU WIEN	- €		- €		- €	- €	- €		- €		- €	- €	
16. TUD		157 091,64 €	18 400,00 €		43 872,91 €	219 364,55 €	188 563,76 €		8 387,90 €		49 237,92 €	246 189,58 €	112%
TOTAL	2 890 823,00 €	319 091,64 €	661 759,36 €	160 000,00 €	967 918,50 €	4 999 592,50 €	2 686 627,54 €	169 839,17 €	866 598,52 €	159 169,42 €	930 766,31 €	4 813 000,95 🐔	96%



PM 91%

#### Personnel effort @ 39 Months

PERIOD	M1-M39	01-01-2019   31-03-2022				Proj	ect Effo	rt in p	erson n	nonths p	er Bene	ficiary a	and Wo	k Packa	age				
Work Package	Title		TOTAL	1. ESS	2. ILL	3. STFC	4. TUM	5. FZJ	6. Wigner RCP	7. INFN	8. ESS BILBAO	9. PSI	10. CEA	11. NCBJ	12. iThemba LABS	13. Necsa	EN I	15. TU WIEN	16. TUD
		ACTUAL M1-M39	16,08															$\perp$	
WP1	Project Coordination	Planned for the whole project	36															_	
		% use of planned efforts	45%															$\perp$	
	A strategy to deliver	ACTUAL M1-M39	126,31		24,29		11,29					7,84		6,2	6,028	6,30	0		29,72
WP2	neutrons for Europe and	Planned for the whole project	119,74	-			9	6	<del></del>			6		6	6	6	0	6	26,5
	beyond	% use of planned efforts	105%	102%	130%				219%			131%		103%	100%	105%		4	112%
	In-kind Contribution	ACTUAL M1-M39	183,98	33,17		47,8		23,17		26,73	12,88		19,25						
WP3	Management	Planned for the whole project	157,00	47,50		18		18	19,5	18	18		18						
	anagaman	% use of planned efforts	117%	70%		265%		129%	108%	149%	72%		107%					$\bot$	
		ACTUAL M1-M39	30,7	25,99			4,12					0,59							
WP4	Innovation and industry	Planned for the whole project	49,08	44,08			2					3							
		% use of planned efforts	63%	59%			206%					20%							
	International outreach,	ACTUAL M1-M39	26,32	26,32															
WP5	community engagement and	Planned for the whole project	56,9	57															
dissemination for impact		% use of planned efforts	46%	46%															
TOTA		Actual PM for the period (M1-M39)	383,59	117,92	24,29	47,8	15,41	31,62	30,8	26,73	12,88	8,43	19,25	6,2	6,028	6,299	0	0	29,72
TOTAL		project	419	260,45			11	24		18	18	9	18	6	6	6	0	6	26,5
		% use of planned efforts	92%	59%	and the second		140%	132%	128%	149%	72%	94%	107%	103%	100%	105%			112%
	International outreach, community engagement and dissemination for impact	% use of planned efforts  ACTUAL M1-M39  Planned for the whole project % use of planned efforts  Actual PM for the period (M1-M39)  project	63% 26,32 56,9 46% 383,39 419	59% 26,32 57 46% 117,92 200,45	18,74	27	15,41 11	24	24	18	18	8,43 9	18	6	6	6	0 0	0 6	



## **Use of budget without OH**

Partners	Total Budget (M1 - M42)	Total Actual Costs (M1 - M39)	Forecasted Expenditure (M40 - M42)	Actual Costs + Forecasted Expenditure	% Spend of Budget at M42
ESS	2 311 569,93 €	2 160 692,75 €	203 196,32 €	2 363 889,07 €	102%
ILL	166 819,00 €	200 053,45 €	1 920,00 €	201 973,45 €	121%
STFC	214 950,00 €	222 658,13 €	- €	222 658,13 €	104%
TUM	97 106,00 €	124 113,15 €	600,00€	124 713,15 €	128%
FZJ	193 168,00 €	216 518,55 €	2 270,32 €	218 788,87 €	113%
Wigner RCP	78 604,00 €	64 798,47 €	13 845,00 €	78 643,47 €	100%
INFN	123 000,00 €	98 791,62 €	7 500,00 €	106 291,62 €	86%
ESS Bilbao	142 800,00 €	96 673,10 €	53 712,00 €	150 385,10 €	105%
PSI	176 500,00 €	150 429,36 €	42 742,47 €	193 171,83 €	109%
CEA	194 964,00 €	193 325,77 €	- €	193 325,77 €	99%
NCBJ	46 000,00 €	29 150,46 €	6 488,00 €	35 638,46 €	77%
ITHEMBA	49 750,00 €	52 030,73 €	2 500,00 €	54 530,73 €	110%
NESCA	59 027,07 €	48 111,08 €	9 300,00 €	57 411,08 €	97%
ENSA	1 924,36 €	1 924,36 €	- €	1 924,36 €	100%
TUD	175 491,64 €	196 951,66 €	13 000,00 €	209 951,66 €	120%
<b>Grand Total</b>	4 031 674,00 €	3 856 222,64 €	357 074,11 €	4 213 296,75 €	105%

### Interim Payment distributed to Partners in 2020

PARTNERS	MAXIMUM EU CONTRIBUTION	% OF TOTAL CONTRIBUTION	90% Maximum Contribution	GUARANTEE FUND BASED ON BUDGET TRANSFERS	PRE-FINANCING AMOUNT BASED ON ORIGINAL BUDGET	ACCEPTED COSTS P1	90% Maximum contribution	Balance to be Paid, (90% maximum contribution minus proportion of Guarantee Fund)
ESS	2 871 395,00 €	57,4%	2 584 255,50 €	143 569,75 €	2 155 050,00 €	1 001 557,16 €	2 584 255,50 €	285 635,75 €
ILL	196 312,50 €	3,9%	176 681,25 €	9 815,63 €	121 593,75 €	143 199,31 €	176 681,25 €	45 271,87 €
STFC	268 687,50 €	5,4%	241 818,75 €	13 434,38 €	223 968,75 €	148 610,16 €	241 818,75 €	4 415,62 €
TUM	121 382,50 €	2,4%	109 244,25 €	6 069,13 €	94 224,38 €	62 111,44 €	109 244,25 €	8 950,75 €
FZJ	241 460,00 €	4,8%	217 314,00 €	12 073,00 €	181 095,00 €	154 825,68 €	217 314,00 €	24 146,00 €
Wigner RCP	98 255,00 €	2,0%	88 429,50 €	4 912,75 €	72 187,50 €	39 684,34 €	88 429,50 €	11 329,25 €
INFN	153 750,00 €	3,1%	138 375,00 €	7 687,50 €	115 312,50 €	42 260,78 €	138 375,00 €	15 375,00 €
ESS BILBAO	178 500,00 €	3,6%	160 650,00 €	8 925,00 €	133 875,00 €	83 466,73 €	160 650,00 €	17 850,00 €
PSI	220 625,00 €	4,4%	198 562,50 €	11 031,25 €	165 468,75 €	44 598,96 €	198 562,50 €	22 062,50 €
CEA	243 705,00 €	4,9%	219 334,50 €	12 185,25 €	182 778,75 €	125 778,46 €	219 334,50 €	24 370,50 €
NCBJ	57 500,00 €	1,2%	51 750,00 €	2 875,00 €	43 125,00 €	29 186,83 €	51 750,00 €	5 750,00 €
iThemba Labs	57 500,00 €	1,2%	51 750,00 €	2 875,00 €	43 125,00 €	42 795,91 €	51 750,00 €	5 750,00 €
Necsa	68 750,00 €	1,4%	61 875,00 €	3 437,50 €	51 562,50 €	29 428,91 €	61 875,00 €	6 875,00 €
ENSA	23 213,75 €	0,5%	20 892,38 €	1 160,69 €	17 410,31 €	- €	20 892,38 €	2 321,37 €
TU WIEN	0,00 €	0,0%	- €	- €	0,00€	- €	- €	- €
TUD	198 556,25 €	4,0%	178 700,63 €	9 927 81 €	148 917,19 €	9 <del>8 992,</del> 08 €	178 700,63 €	19 855,62 €
TOTAL	4 999 592,50 €	100%	4 499 633,25 €	249 979,63 €	3 749 694,38 €	2 046 496,75 €	4 499 633,25 €	499 959,25 €





Status of Key Performance Indicators

WP	KPI	Number	M39
1. Project Coordination	Timelines in deliverables and milestones submission: Percentage submitted on time, with 2-weeks margin	95%	94%
	Number of management meetings (with respect to initially planned ones: kick-off meeting, regular GA meetings, and StB meetings)	39	37
	Number of internal project Newsletters	36	74
	Use of resources (PM)	100%	35%

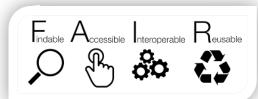
# Data Management Plan - update





#### Data Management Plan Implementation

#### Types and formats of data in the project



#### FAIR data principles: Data should be Findable, Accessible, Interoperable, Reusable

- (WP2), Scientific data: mostly NeXus/HDF5 formatted data files
- BrightnESS2 NQL data is stored following the NeXus (https://www.nexusformat.org/)
  structure as used at various international neutron research facilities, ensuring the
  ease of exchange and interpretation
  - These are widely known and used within the research community. Other standards as they arise will be added to the data management plan.
  - Each dataset linked to publicly accessible DOI, researcher ORCID (Findable).
  - Can be downloaded (Accessible)
- (WP3) IKC data: In-Kind. This process is recorded in XRM+, a tool developed under BrightnESS (G.A No. 676548)
- (WP4) Industrial data: Surveys, interviews, workshops
- (WP5) Socio-economic impact data
- (All WPs) Deliverables: documents

Instrument Facility Measurement Data policy & availability  ENGIN-X ISIS RB2030003 • DOI: https://doi.org/10.5286/ISIS.E.RB20300000000000000000000000000000000000	03
000000	03
Data portal: https://data.isis.stfc.ac.uk/	05
o First 3 years: Limited to users register	ed on
proposal	
o After 3 years: Available for general IS	IS
registered user	
https://www.isis.stfc.ac.uk/Pages/Data-Policy.as	px
MPISI Necsa 2019/12/20 • Data can be made on-line to collaborators u	pon
6201 request (communication@necsa.co.za) thro	ugh
Necsa managed FTP server	
Data is accessible by public after 3 years	
SALSA ILL INTER-468 • DOI: https://doi.ill.fr/10.5291/ILL-DATA.INT	ER-468
Data portal: https://data.ill.fr	
<ul> <li>First 3 years: Limited to users register</li> </ul>	ed on
proposal	
o After 3 years: Available for general us	ers
registered in the ILL user club	
https://www.ill.eu/users/user-guide/after-your-	
experiment/data-management	
STRESS-SPEC MLZ 16405 • Unique identifier will be available in the fut	ure,
web-based access mechanism subject to PI	
permission is currently available	
After publication, PI must make the data pu	blicly
available	
https://www01.mlz-garching.de/englisch/user-	
office/terms-of-reference.html#Publications	

Table 3 NQL data locations and repository references

### Informed consent for data sharing and long-term preservation included in questionnaires dealing with personal data

Personal user information will be protected in accordance with the ESS Rules for Personal Data Protection, EU Data Protection

Directive (Directive 95/46/EC) and, where applicable, the EU General Data Protection Regulation



# Final Technical report



## Finalisation & Closing of the Project

#### **Periodic Report**

- ➤ July 2020 June 2022 (M19/ M42)
- > Key achievements and results
- Deviations (technical and financial)



#### **Financial Report**

- ➤ All costs reported
- ➤ CFS (>€325k grant)
- > Justification available:
  - Receipts
  - Boarding passes
  - Timesheets

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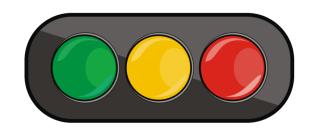
#### Review (tbc)

- ➤ Jan 2019 June 2022 (M1/M42)
- > Overall assessment of project
- > Key Results & impact
- ➤ Sustainability



## **Final Periodic report**

Covers the period (July 2020 - June 2022)



- Part A Questionnaire information request email will be sent in June
  - Exploitation plan, Gender profile, Dissemination & Communication
- Partners report July 2022 Detailed financial expenditure for the final period
  - Any adjustments to the first period financial report can be made within the financial report system on the EU portal
  - Remember to give detailed explanations for any deviations from the planned budget when reporting cost expenditure
  - **X** Explain any deviation in the use of resources
- Description of technical progress in Technical Report Part B
  - ★ These contributions are being collected by the Work Package Leaders



Personnel Costs	<ul> <li>Number of PM</li> <li>Amount</li> <li>Personnel Costs Justification</li> </ul>
Direct Costs	<ul> <li>Amount</li> <li>Travel Costs Justification (name of people travelled, the purpose of the meeting, place and date of the meeting / cost claim)</li> <li>Other Direct Costs Justification</li> </ul>
Subcontracting	<ul> <li>Amount</li> <li>Is the subcontracting expense part of the pre-defined subcontracting costs in your budget?</li> <li>If yes, describe the subcontracting activity and task</li> <li>If not, please explain</li> </ul>
Deviations	Was there any deviation from the work plan? If yes, please explain.



## It always seems impossible until it's done.

**Nelson Mandela**